

08/17/01

JCS25 U.S. PTO

8-20-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1999U021D1.US
Inventors	Timothy T. Wenzel, Dick Alan Nagaki, Simon Mawson, David James Schreck, and Thomas H. Peterson
Title	Catalyst Modifiers and Their Use in the Polymerization of Olefin(s)
Express Mail Label No.	EL888417904US

## APPLICATION ELEMENTS

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification Total Pages -43-
  - Descriptive Title of the Invention
  - Cross References to Related Applications (if any)
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) Total Sheets -0-
- ☒ Oath or Declaration Total Pages -3-
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/division with Box 15 completed)  
[Note Box 5 below]
  - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- ☐ Incorporation By Reference (useable if Box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Microfiche Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Other: \_\_\_\_\_

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: 09/392,421, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner Wenzel et al. Group Art Unit 1713

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. \_\_\_\_\_, country \_\_\_\_\_, filed on \_\_\_\_\_.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies L.L.C.						
Address	5555 San Felipe, Suite 1950						
City	Houston	State	Texas	Zip Code	77056		
Country	U.S.A.		Telephone	(713) 892-3668		Fax	(713) 892-3687
Name (print/type)	Lisa Kimes Jones			Registration No. (Attorney/Agent)	41,878		
Signature				Date	August 17, 2001		

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09/932520  
08/17/01

# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.

## Complete if Known

Application Number	New Application
Filing Date	August 17, 2001
Inventors	Wenzel, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	1999U021D1.US

Total Amount of Payment (\$)**710.00**

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code	Fee Description Fee \$	Fee Paid
101 710	Utility filing fee	<b>710.00</b>
106 320	Design filing fee	
107 490	Plant filing fee	
108 710	Reissue filing fee	
114 150	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>710.00</b>

#### 2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims <b>19</b> - 20 = <b>0</b> x <b>18</b> = <b>.00</b>			
Independent Claims <b>2</b> - 3 = <b>0</b> x <b>80</b> = <b>.00</b>			
Multiple Dependent Claims <b>0</b> x <b>270.00</b> = <b>.00</b>			

#### Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 80		Independent claims in excess of 3
104 270		Multiple dependent claim
109 80		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>.00</b>

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 390		Extension for reply within second month	
117 890		Extension for reply within third month	
118 1,390		Extension for reply within fourth month	
128 1,890		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 310		Filing a brief in support of an appeal	
121 270		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,240		Petition to revive - unintentional	
142 1,240		Utility issue fee (or reissue)	
143 440		Design issue fee	
144 600		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 180		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
148 110		Statutory disclaimer	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

#### SUBTOTAL (3)

Typed or Printed Name **Lisa Kimes Jones** Reg. No. **41,878**

Signature  Date **August 17, 2001** Deposit Account User ID